

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. P-2874 DIV  
First Inventor JAMES B. BURR  
Title BACK-BIASED MOS DEVICE...  
Express Mail Label No. EL 895672859 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 22]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
5. Oath or Declaration [Total Sheets 3]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Check: Submission of Formal Drawings  
.....  
.....(1 page); Formal Drawings-8 sheets.....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/996,705.....

Prior application information:

Examiner STEVEN HO YIN LOKE

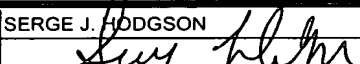
Art Unit: 2811

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number: 24209 OR ☐ Correspondence address below

Name	SERGE J. HODGSON		
Address	1900 GARDEN ROAD		
	SUITE 220		
City	MONTEREY	State	CA
Country	USA	Telephone	831-655-0880
		Zip Code	93940
		Fax	831-655-0888

Name (Print/Type)	SERGE J. HODGSON	Registration No. (Attorney/Agent)	40,017
Signature		Date	OCTOBER 10, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

16584 J. S. PTO  
10/683957  
101003



15992 U.S. PTO

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James B. Burr

Assignee: Sun Microsystems, Inc.

Title: BACK-BIASED MOS DEVICE FABRICATION METHOD (AS AMENDED)

Date: October 10, 2003

Docket No.: P-2874 DIV

Monterey, CA  
October 10, 2003FEE TRANSMITTAL

The Fee Calculation is after the entry of the enclosed Preliminary Amendment.

FEE CALCULATION							
<b>1. BASIC FILING FEE</b>							
Large Fee Code	Large Entity Fee	Small Fee Code	Small Entity Fee	Fee Description	Fee Paid		
1001	770	2001	385	Utility filing fee	770.00	770.00	
1002	340	2002	170	Design filing fee	0.00		
1003	530	2003	265	Plant filing fee	0.00		
1004	770	2004	385	Reissue filing fee	0.00		
1005	160	2005	80	Provisional filing fee	0.00		
SUBTOTAL					770.00	770.00	
<b>2. EXTRA CLAIM FEES</b>							
		**	Extra Claims	Fee from Below	Fee Paid		
Total Claims	17	-	20**	= 0	X	0	= 0.00
Independent Claims	3	-	3**	= 0	X	42	= 0.00
Multiple Dependent	0	-	0	= 0	X	140	= 0.00
** or number previously paid, if greater.							
SUBTOTAL					0.00	0.00	
TOTAL					\$770.00		

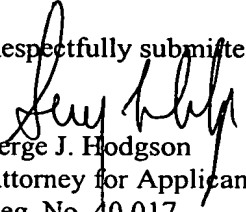
☒ A check in the amount of \$770.00 payable to the Director of the United States Patent and Trademark Office is enclosed

☒ Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed documents after all papers filed with this transmittal have been considered, Applicant hereby petitions for such an extension of time.

☒ The Commissioner is hereby authorized to charge any additional fees required for consideration of the enclosed documents, and to credit any overpayment of fees to Deposit Account No. 50-0553.

EXPRESS MAIL LABEL NO.:  
EL 895672859 US

Respectfully submitted,

  
Serge J. Hodgson  
Attorney for Applicant  
Reg. No. 40,017

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James B. Burr

Assignee: Sun Microsystems, Inc.

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1005	160	2005	80	Provisional filing fee	0.00	
SUBTOTAL					770.00	770.00
<b>2. EXTRA CLAIM FEES</b>						
			**	Extra Claims	Fee from Below	Fee Paid
Total Claims	17	-	20**	= 0	X 0	= 0.00
Independent Claims	3	-	3**	= 0	X 42	= 0.00
Multiple Dependent	0	-	0	= 0	X 140	= 0.00
<i>** or number previously paid, if greater.</i>						
SUBTOTAL					0.00	0.00
<b>TOTAL</b>					<b>\$770.00</b>	

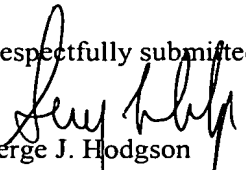
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Respectfully submitted,

  
Serge J. Hodgson  
Attorney for Applicant  
Reg. No. 40,017

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PTO/SB/35 (05-03)

Approved for use through 4/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	James B. Burr
	Title	BACK-BIASED MOS DEVICE FABRICATION METHOD (AS AMENDED)
	Attorney Docket Number	P-2874 DIV

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

October 10, 2003

Date

Signature

(831) 655-0880

Telephone number

Serge J. Hodgson, Reg. No. 40,017

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22312-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James B. Burr  
Assignee: Sun Microsystems, Inc.  
Title: BACK-BIASED MOS DEVICE FABRICATION METHOD (AS  
AMENDED)  
Serial No.: Unknown Filed: Herewith  
Examiner: Unknown Group Art Unit: Unknown  
Docket No.: P-2874 DIV

Monterey, CA  
October 10, 2003

Mail Stop Patent Application  
COMMISSIONER FOR PATENTS  
ATTN: Official Draftsperson  
P. O. Box 1450  
Alexandria, VA 22313-1450

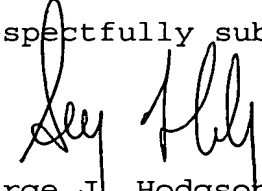
SUBMISSION OF FORMAL DRAWINGS

Dear Sir:

Applicant submits eight (8) sheets of formal drawings consisting of Figures 1a, 1b, 2a, 2b, 3, 4a, 4b, 5, 6, 7, 8 and 9. If there are any questions concerning the formal drawings, please call the undersigned at the number given.

EXPRESS MAIL LABEL NO:  
EL 895672859 US

Respectfully submitted,

  
Serge J. Hodgson  
Attorney for Applicant  
Reg. No. 40,017  
(831) 655-0880 / Ext. 12